

AWARD NUMBER: W81XWH-14-1-0248

TITLE: Cognitive Impairment in MS Linked to Structural and Functional Connectivity

PRINCIPAL INVESTIGATOR: Lauren Krupp

CONTRACTING ORGANIZATION:  
The Research Foundation of State University  
Stony Brook, NY. 11794-0001

REPORT DATE: October 2016

TYPE OF REPORT: Annual

PREPARED FOR: U.S. Army Medical Research and Materiel Command  
Fort Detrick, Maryland 21702-5012

DISTRIBUTION STATEMENT: Approved for Public Release;  
Distribution Unlimited

The views, opinions and/or findings contained in this report are those of the author(s) and should not be construed as an official Department of the Army position, policy or decision unless so designated by other documentation.

# REPORT DOCUMENTATION PAGE

Form Approved  
OMB No. 0704-0188

Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing this collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Department of Defense, Washington Headquarters Services, Directorate for Information Operations and Reports (0704-0188), 1215 Jefferson Davis Highway, Suite 1204, Arlington, VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number. **PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ADDRESS.**

1. REPORT DATE October 2016			2. REPORT TYPE Annual		3. DATES COVERED 29 Sep 2015 - 28 Sep 2016
Cognitive Impairment in MS Linked to Structural and Functional Connectivity					5a. CONTRACT NUMBER
					5b. GRANT NUMBER W81XWH-14-1-0248
					5c. PROGRAM ELEMENT NUMBER
6. AUTHOR(S) Lauren Krupp  E-Mail: lauren.krupp@stonybrookmedicine.edu					5d. PROJECT NUMBER
					5e. TASK NUMBER
					5f. WORK UNIT NUMBER
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)  The Research Foundation of State University, State University Stony Brook West 5610 FRK MEL LIB Stony Brook, NY. 11794-0001					8. PERFORMING ORGANIZATION REPORT NUMBER
9. SPONSORING / MONITORING AGENCY NAME(S) AND ADDRESS(ES)  U.S. Army Medical Research and Materiel Command Fort Detrick, Maryland 21702-5012					10. SPONSOR/MONITOR'S ACRONYM(S)
					11. SPONSOR/MONITOR'S REPORT NUMBER(S)
12. DISTRIBUTION / AVAILABILITY STATEMENT  Approved for Public Release; Distribution Unlimited					
13. SUPPLEMENTARY NOTES					
14. ABSTRACT  Multiple sclerosis (MS) is the most common progressive neurologic disorder to occur in adults of working-age. Pathologically, MS is characterized by demyelination, immune-mediated inflammation and neurodegeneration within the central nervous system (CNS). Cognitive impairment is estimated to occur in up to 70% of all patients. In this study, the measure of cognitive impairment used, called intra-individual variability (IIV), can detect variability in each subject's performance over time. This is a sensitive indicator of cognitive impairment in individuals with neurological disorders including MS. Multiple types of brain imaging will be used to acquire data from participants, including Magnetic Resonance Imaging (MRI) and Positron Emission Tomography (PET). Data from the first 10 subjects in each cohort has been acquired, pre-processed and both raw data and image analysis outputs have been put through extensive quality control/assurance analysis. The significance of our preliminary findings suggest we may be able to uncover early biological underpinnings of cognitive impairment, which could both improve our understanding of MS and help in the development of novel therapeutics for this impairment.					
15. SUBJECT TERMS  Nothing listed					
16. SECURITY CLASSIFICATION OF:  a. REPORT Unclassified			17. LIMITATION OF ABSTRACT Unclassified	18. NUMBER OF PAGES 12	19a. NAME OF RESPONSIBLE PERSON USAMRMC
b. ABSTRACT Unclassified					19b. TELEPHONE NUMBER (include area code)
c. THIS PAGE Unclassified					

## Table of Contents

<b>Abstract .....</b>	<b>2</b>
<b>1. Introduction.....</b>	<b>5</b>
<b>2. Keywords.....</b>	<b>5</b>
<b>3. Accomplishments.....</b>	<b>6</b>
<b>4. Impact.....</b>	<b>7</b>
<b>5. Changes/Problems.....</b>	<b>7</b>
<b>6. Products.....</b>	<b>7</b>
<b>7. Participants &amp; Other Collaborating Organizations.....</b>	<b>7</b>
<b>8. Special Reporting Requirements.....</b>	<b>9</b>
<b>9. Appendices.....</b>	<b>9</b>

## Abstract

Multiple sclerosis (MS) is the most common progressive neurologic disorder to occur in adults of working-age. Pathologically, MS is characterized by demyelination, immune-mediated inflammation and neurodegeneration within the central nervous system (CNS). Cognitive impairment is estimated to occur in up to 70% of all patients and is a major cause of disability, often striking during key years of productivity and family life. Despite longstanding recognition of cognitive impairment as a symptom of MS, two obstacles in measurement have limited understanding its biological basis, and therefore identifying targeted options for management. First is the absence of a sensitive and precise measure of cognitive impairment. Second is the absence of an index of disease status linked to brain pathophysiology and cognitive performance. This project overcomes both obstacles to link cognitive impairment to MS disease biomarkers.

In this study, participants with early stages of the relapsing-remitting subtype of MS (RRMS) will be studied. The measure of cognitive impairment we will use, called intra-individual variability (IIV), can detect variability in each subject's performance over time. This is a sensitive indicator of cognitive impairment in individuals with neurological disorders including MS. Multiple types of brain imaging will be used to acquire data from participants, including Magnetic Resonance Imaging (MRI) and Positron Emission Tomography (PET). To reduce subject burden, we will use one scanner to simultaneously acquire both imaging parameters. The scanner is one of only a few in the world that simultaneously acquires PET and MRI images. During a one hour session, the PET/MRI scanner provides structural scans that allow estimation of volume and cortical thickness, as well as white matter tract integrity, which can relate information regarding demyelination, commonly associated with MS. At the same time, we will acquire PET images using a PET tracer called FDG, an analogue of sugar that allows us to quantify metabolism.

By analyzing the brains of MS patients using all of these techniques, we will create the most comprehensive profile of MS, greatly increasing our understanding of the biological differences that occur in MS and that are most closely related to differences in cognitive performance. The clinical implications of uncovering this correlation are tremendous. Most importantly, we would have an improved understanding of the MS disease process. This would improve both diagnosis and ability to provide prognosis.

Data from the first 10 subjects in each cohort has been acquired, pre-processed and both raw data and image analysis outputs have been put through extensive quality control/assurance analysis. This is performed through a semi-automated pipeline established by the Center for Understanding Biology using Imaging Technology (CUBIT), in which each slice of the raw images and every intermediate output of the image analysis pipeline can be examined, commented on, edited, approved and locked through an html interface. Of the 20 data sets received, one did not pass quality assurance inspection due to artifact in the image. For this reason, that subject's data was excluded from the following analyses. Ongoing quality improvement efforts include refining image analysis results using manual intervention.

As described in our application, structural MRI (sMRI) is sensitive to the neuro-axonal loss and demyelination that occurs in MS <sup>1</sup>. A recent meta-analysis of 18 studies of regional grey matter loss in RRMS found that, in all studies, loss was observed in the parietal regions. Our preliminary data confirms this finding with average cortical thickness measures being significantly lower in MS versus control subjects (Figure 1).

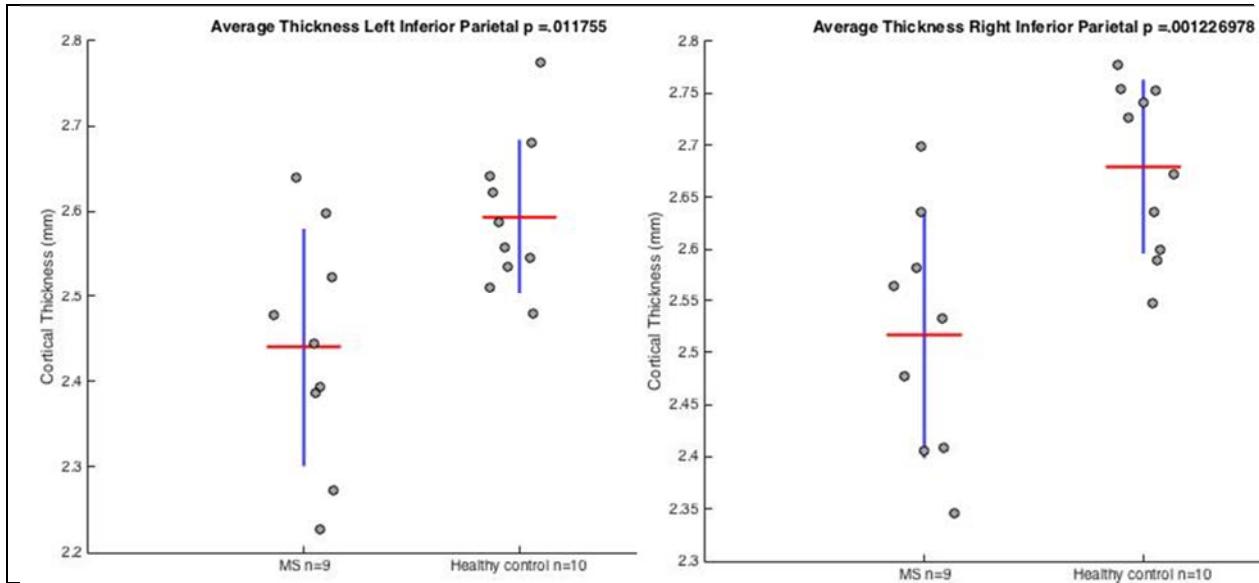


Figure 1: Average cortical thickness values in the left and right inferior parietal regions were lower in MS subjects than controls.

From dMRI, estimates of the directionality, water diffusion can be calculated throughout the brain. As such, dMRI is an optimal technique to detect demyelination, axonal injury and cell death associated with MS. dMRI can provide an index of the health of the identified neuronal (white matter) tracts, called fractional anisotropy (FA). Higher FA values potentially reflect a parallel organization of axons and greater myelination. FA is a sensitive measure that can reveal pathology even in normal appearing white matter <sup>2-4</sup>. In a recent DTI study performed by our group, significant FA differences were found in the white matter tracts of the posterior thalamic radiation (connectivity between thalamus, occipital, and parietal regions), as well as between the frontal and occipital lobes <sup>5</sup>. The health of the thalamus, which is heavily involved with information processing <sup>6</sup>, has also been related to cognitive decline <sup>7, 8</sup>. Consistent with these findings, we observe significantly different FA in the right and left thalamus, left inferior parietal and left thalamus in MS patients versus controls (Figure 2).

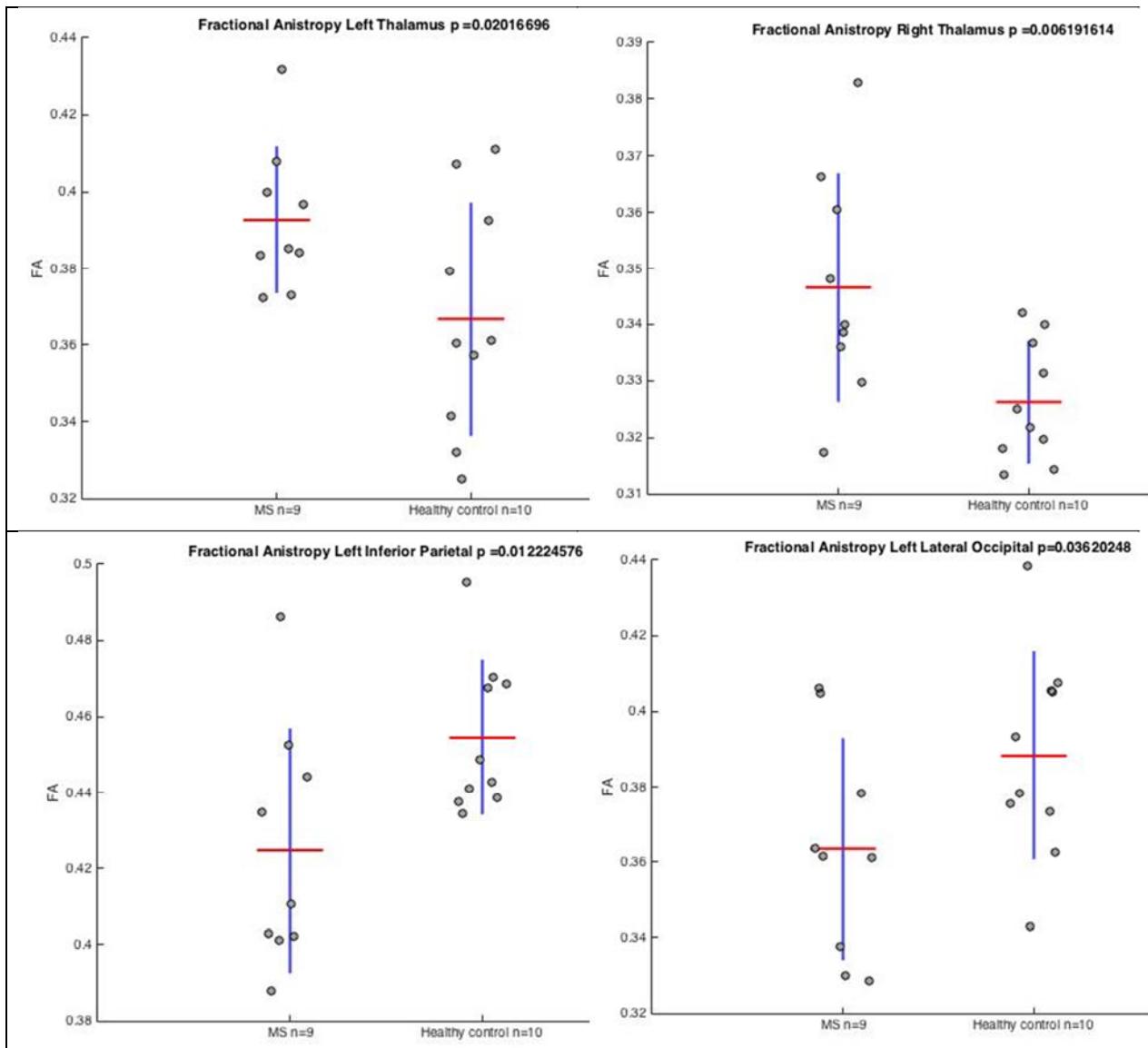
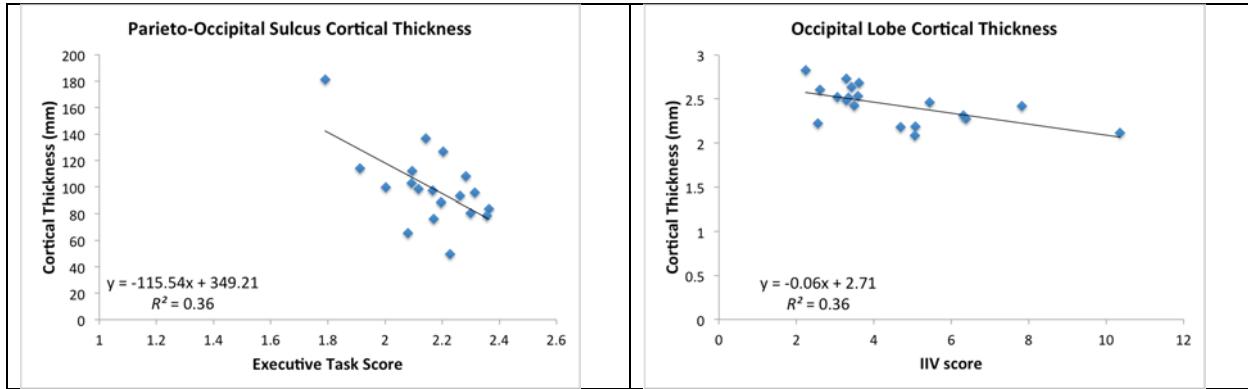


Figure 2: Average fractional anisotropy in the left and right thalamus (top), left inferior parietal (bottom left) and left lateral occipital (bottom right) was different in MS subjects than controls.

In a normal or healthy white matter region, there are multiple white matter pathways passing through, resulting in a higher FA since water molecules may travel in many different directions. In disease, if one or more of those pathways are destroyed or weakened, the FA will be lower. Our findings confirmed as analyzed with tractography, that the MS relative to the Control group had a lower FA in the regions examined.

In addition to examining image-derived biological differences between MS subjects and controls, this study aims to uncover the biological underpinnings of cognitive impairment. The relationship between cognitive IIV and white matter volume and/or integrity has been established in numerous contexts: in healthy controls <sup>9-12</sup>, subjects with frontal lesions <sup>13</sup>, and in development <sup>14</sup>. In DTI studies of the elderly, decreased

FA was associated with increased IIV<sup>15</sup>. However, this has not yet been established in MS. In exciting preliminary data, we show, for the first time, a correlation between gray matter atrophy, as assessed through cortical thickness, and both scores on an executive working memory task and our sensitive IIV (Figure 3).



### 3. ACCOMPLISHMENTS:

Through month 24 of the award, the below major goals and objectives were established in the statement of work. The accomplishment for each of these goals is detailed below each item.

#### **-Major Task 1 Study Set Up**

Target Month: 1-3

Completion: 1-3

**Milestones achieved:** IRB and HRPO approval, certification of psychometrician and renewal of EDSS for LK, completion of MOP and clinical cognitive research database

#### **-Major Task 2 Cognitive and clinical data collection of 25 MS and 25 healthy control participants**

Target Month: 4-24,

Completion Month: 1-18 76% of MS participants complete, 100% of control participants complete

Quarter Four Completion: 0% of MS participants complete, 0% of control participants complete

**Milestones achieved:** First patient enrolled, progress report submitted re: clinical/cognitive findings on initial 20 participants (Report included in section above abstract).

#### **-Major Task 3 Development of Neuroimaging Protocol**

Target Month: 1-3

Completion: 1-3

**Milestones achieved:** IRB submitted, staff all certified, neuroimaging MOP finalized

#### **-Major Task 4 Neuroimaging data collection with quality control monitoring on all 50 subjects**

Target Month: 4-20,

Completion Month: 1-18 88% of subjects complete

Quarter Four Completion: 0% of subjects complete

**Milestones achieved:** Collect neuroimaging data with quality control on first healthy control participant

**-Major Task 5 Completion of data analysis of the relations between cognitive and imaging data**

*Target Month: 21-24*

*Quarter Four Completion: preliminary data analysis started.*

- What opportunities for training and professional development did the project provide  
**Nothing to Report**
- How were the results disseminated to communities of interest  
**Nothing to Report**
- What do you plan to do during next reporting period to accomplish goals and objectives

**In this past year, Drs. Krupp and Charvet transferred to New York University Langone's School of Medicine, while maintaining voluntary faculty positions at Stony Brook University. After multiple discussions with Ms. Aimee Bunker, Dr. Walther, and Mr. Juan Rodriguez, it was advised to submit a proposal revision to add NYU as a sub-award and to request a No Cost Extension. Data collection was stopped after Y2Q2 and preliminary data analysis began on the data already collected. The No Cost Extension would allow data analysis and manuscript preparation to be completed. We will continue to prepare abstracts to submit this work to relevant conferences and disseminate findings through publications.**

**4. IMPACT:**

- Impact on the development of the principal discipline of the project  
The above results provide initial insight into the biological underpinning of cognitive dysfunction in MS. Once validated and expanded, results can be used to help develop new therapeutics or monitor treatment.

- Impact on other disciplines  
**Nothing to Report**

- Impact on technology transfer  
**Nothing to Report**

- Impact on society beyond science and technology  
**Nothing to Report**

**5. CHANGES (required to obtain written approval prior to changes):**

**A sub-award to NYU and a No Cost Extension request will be submitted. Required paperwork is being prepared for submission.**

## 6. PRODUCTS:

**Nothing to Report**

## 7. PARTICIPANTS AND OTHER COLLABORATING ORGANIZATIONS:

- Individuals who worked on project (follow formant from quarterly report)

*Name:* *Lauren Krupp M.D.*

*Project Role:* *P.I.*

*Researcher Identifier (e.g. ORCID ID): 0000-0003-3906-4485*

*Nearest person month worked: 0.1 (no salary support this reporting period)*

*Contribution to Project:* Dr. Krupp has performed the baseline neurological assessment, addressed all clinical issues, and followed reports from the Clinical Coordinator's phone contacts. Regarding the conduct of the research, Dr. Krupp has ensured that the research accomplishments are consistent with the study timeline. She has met weekly with the Cols and study coordinator/psychometrician to monitor the progress of the trial.

*Name:* *Leigh Charvet Ph.D.*

*Project Role:* *Co-Investigator*

*Researcher Identifier (e.g. ORCID ID): 0000-0003-4429-9713*

*Nearest person month worked: 0.25 (no salary support this reporting period)*

*Contribution to Project:* Dr. Charvet has supervised data cleanup and worked with the PI and Co-Is on preliminary data analysis.

*Name:* *Christine DeLorenzo Ph.D.*

*Project Role:* *Co-Investigator*

*Researcher Identifier (e.g. ORCID ID): 0000-0001-8035-2417*

*Nearest person month worked: 0.25 (no salary support this reporting period)*

*Contribution to Project:* As the director of the image processing team (including programmer, data analysts, and IT support), Dr. DeLorenzo has ensured continuity of the project by overseeing the documentation of all image processing procedures, being the contact person for data or analysis issues, and providing daily guidance and support for the image analysis team. She works with the PI and Co-Is on preliminary data analysis.

*Name:* *Jie Yang Ph.D.*

*Project Role:* *Co-Investigator*

*Researcher Identifier (e.g. ORCID ID): 0000-0003-3469-5931*

*Nearest person month worked: 0.25 (no salary support this reporting period)*

*Contribution to Project:* Dr. Yang assisted in writing the analysis plan for the MOP and provides statistical consultation and support as needed. She works with the PI and Co-Is on preliminary data analysis.

*Name:* *Kai Sherman*

**Project Role:***Coordinator**Researcher Identifier (e.g. ORCID ID): 0000-0003-2437-7892**Nearest person month worked: 0.25**Contribution to Project:* Ms. Sherman works very closely with Drs. Krupp and Charvet to assist in the data cleanup for preliminary analysis and with IRB maintenance and reporting.

- Change in active other support of PI or senior/key personnel:  
Active support from the award to PI and senior/key personnel has been stopped to prepare the proposal revision to include NYU as a sub-award.
- What other organizations were involved as partners  
New York University Langone's School of Medicine will be a sub-award since Drs. Krupp and Charvet transferred to NYU while maintain voluntary faculty positions at SBU. Dr. Krupp will remain the PI at SBU and the sub-award submission will include Dr. Charvet as the NYU PI and Dr. Krupp as a Co-Investigator.

**8. Special Requirements****None****9. Appendices:**

1. Riccitelli G, Rocca MA, Pagani E, Martinelli V, Radaelli M, Falini A, Comi G, Filippi M. Mapping regional grey and white matter atrophy in relapsing-remitting multiple sclerosis. *Mult Scler.* 2012;18(7):1027-1037.
2. Vrenken H, Pouwels PJ, Geurts JJ, Knol DL, Polman CH, Barkhof F, Castelijns JA. Altered diffusion tensor in multiple sclerosis normal-appearing brain tissue: cortical diffusion changes seem related to clinical deterioration. *J Magn Reson Imaging.* 2006;23(5):628-636.
3. Vrenken H, Pouwels PJ, Ropele S, Knol DL, Geurts JJ, Polman CH, Barkhof F, Castelijns JA. Magnetization transfer ratio measurement in multiple sclerosis normal-appearing brain tissue: limited differences with controls but relationships with clinical and MR measures of disease. *Mult Scler.* 2007;13(6):708-716.
4. Rovaris M, Iannucci G, Falautano M, Possa F, Martinelli V, Comi G, Filippi M. Cognitive dysfunction in patients with mildly disabling relapsing-remitting multiple sclerosis: an exploratory study with diffusion tensor MR imaging. *J Neurol Sci.* 2002;195(2):103-109.
5. Yu HJ, Christodoulou C, Bhise V, Greenblatt D, Patel Y, Serafin D, Maletic-Savatic M, Krupp LB, Wagshul ME. Multiple white matter tract abnormalities underlie cognitive impairment in RRMS. *Neuroimage.* 2012;59(4):3713-3722.
6. Leyden J, Kleinig T. The role of the basal ganglia in data processing. *Med Hypotheses.* 2008;71(1):61-64.

7. Houtchens MK, Benedict RH, Killiany R, Sharma J, Jaisani Z, Singh B, Weinstock-Guttman B, Guttman CR, Bakshi R. Thalamic atrophy and cognition in multiple sclerosis. *Neurology*. 2007;69(12):1213-1223.
8. Benedict RH, Ramasamy D, Munschauer F, Weinstock-Guttman B, Zivadinov R. Memory impairment in multiple sclerosis: correlation with deep grey matter and mesial temporal atrophy. *J Neurol Neurosurg Psychiatry*. 2009;80(2):201-206.
9. Walhovd KB, Fjell AM. White matter volume predicts reaction time instability. *Neuropsychologia*. 2007;45(10):2277-2284.
10. Bunce D, Bielak AA, Cherbuin N, Batterham PJ, Wen W, Sachdev P, Anstey KJ. Utility of Intraindividual Reaction Time Variability to Predict White Matter Hyperintensities: A Potential Assessment Tool for Clinical Contexts? *J Int Neuropsychol Soc*. 2013;1-6.
11. Bunce D, Anstey KJ, Christensen H, Dear K, Wen W, Sachdev P. White matter hyperintensities and within-person variability in community-dwelling adults aged 60-64 years. *Neuropsychologia*. 2007;45(9):2009-2015.
12. Fjell AM, Westlye LT, Amlie IK, Walhovd KB. Reduced white matter integrity is related to cognitive instability. *J Neurosci*. 2011;31(49):18060-18072.
13. Stuss DT, Murphy KJ, Binns MA, Alexander MP. Staying on the job: the frontal lobes control individual performance variability. *Brain*. 2003;126(Pt 11):2363-2380.
14. Tamnes CK, Fjell AM, Westlye LT, Ostby Y, Walhovd KB. Becoming consistent: developmental reductions in intraindividual variability in reaction time are related to white matter integrity. *J Neurosci*. 2012;32(3):972-982.
15. Moy G, Millet P, Haller S, Baudois S, de Bilbao F, Weber K, Lovblad K, Lazeyras F, Giannakopoulos P, Delaloye C. Magnetic resonance imaging determinants of intraindividual variability in the elderly: combined analysis of grey and white matter. *Neuroscience*. 2011;186:88-93.